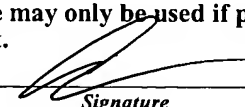


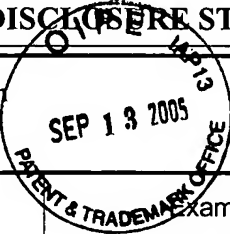
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(d))					Docket No. SEC.1087	
In Re Application Of: Yo-Han Ahn						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
10/684,436	10/15/03	Tran, Khoi H	20987	3651	3937	
Title: SUBSTRATE PROCESSING APPARATUS AND METHOD OF PROCESSING SUBSTRATE WHILE CONTROLLING FOR CONTAMINATION IN SUBSTRATE TRANSFER MODULE						
<div style="text-align: center;">Address to: Commissioner for Patents</div> <p>The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).</p> <p><input checked="" type="checkbox"/> A check in the amount of \$180.00 is attached.</p> <p><input type="checkbox"/> Charge Deposit Account No. in the amount of</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax no. _____) on _____ _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <div style="text-align: center;">Signature</div> </div> <div style="border: 1px solid black; padding: 5px;"> <div style="text-align: center;">Typed or Printed Name of Person Signing Certificate</div> </div> </div> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <div style="text-align: center;">Signature of Person Mailing Correspondence</div> </div> <div style="border: 1px solid black; padding: 5px;"> <div style="text-align: center;">Typed or Printed Name of Person Mailing Certificate</div> </div> </div> </div> <p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="width: 45%;"> <p style="text-align: center;">  Signature </p> <p>ADAM C. VOLENTINE</p> <p>REG. NO. 33289</p> <p>VOLENTINE FRANCOS & WHITT, PLLC</p> <p>11951 FREEDOM DRIVE, SUITE 1260</p> <p>RESTON VA 20190</p> <p>TEL. 571.283.0720</p> </div> <div style="width: 45%;"> <p>Dated: SEPT. 13, 2005</p> </div> </div> <p>CC:</p>						

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
SEC.1087

In Re Application Of: **Yo-Han Ahn**



Application No. 10/684,436	Filing Date 10/15/03	Examiner Tran, Khoi H	Customer No. 20987	Group Art Unit 3651	Confirmation No. 3937
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Invention: **SUBSTRATE PROCESSING APPARATUS AND METHOD OF PROCESSING SUBSTRATE WHILE CONTROLLING FOR CONTAMINATION IN SUBSTRATE TRANSFER MODULE**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

Dated: **SEPT. 13, 2005**

ADAM C. VALENTINE
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Complete if Known

(Use as many sheets as necessary)

Sheet	1	of	1
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Application Number	10/684,436
Filing Date	10/15/03
First Named Inventor	Yo-Han Ahn
Art Unit	3651
Examiner Name	Tran, Khoi H.
Attorney Docket Number	SEC.1087

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ^o
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
	C	EP 0 768 525 A2	04/16/97	L'air Liquide, Societe		✓

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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